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HUMAN HEALTH AND ENVIRONMENTAL ASSESSMENT

of

SILRES® BS 1703

1 IDENTITY

1.1 Identification of the Polymer



lowest number average

molecular weight: 1086

maximum weight % below

500 molecular weight: 0.87

maximum weight % below

1000 molecular weight:: 31.57

Trade name: SILRES® BS 1703

1.2 Physico-Chemical properties

has a number average molecular weight Mn of 1086 g/mol, and contains a high fraction (~31.57%) of low molecular weight species < 500 g/mol.

Based on data available for the monomer $(t^{1}/2 = 0.9 \text{ h}, \text{ pH 4}, 20\text{-}25 \text{ °C}; t^{1}/2 = 43 \text{ h}, \text{ pH 7}, 20\text{-}25 \text{ °C}; t^{1}/2 = 0.5 \text{ h}, \text{ pH 9}, 20\text{-}25 \text{ °C}, (Q)SAR) the reaction with water is expected to be moderately fast. However, it should be noted that during product cure, further condensation must be expected, which will lead to an increased average molecular weight, a lower fraction of low molecular weight species, and thus an increased Kow with a decrease in water solubility, and bioavailability.$

The vapor pressure of polymers, in general, is low. In this case already the monomer has a very low vapor pressure of 0.14 to 0.22 Pa at 20 and 25°C¹. With subsequent polymer chain increase the vapor pressure rapidly decreases to very low values as can be calculated by MPBPWIN v1.43 (see table 1 and annex I). For the siloxane dimer a vapor pressure of only 0.00009 Pa is predicted. Despite all uncertainties the prediction may have, this indicates that exposure to the polymer by vapor inhalation is negligible.

Table 1: Measured and/or predicted vapor pressure of the monomer

Substance (Method)	Result
(OECD 104, effusion method)	0.22 Pa @ 25°C
(MPBPWIN v1.43)	0.99 Pa @ 25°C
(MPBPWIN v1.43)	0.00009 Pa @ 25°C

¹ https://echa.europa.eu/de/registration-dossier/-/registered-dossier/16000/4/7

2 HUMAN HEALTH HAZARDS

The new polymer has not been tested for acute or chronic toxicity. However, the monomer has been intensively evaluated in Europe within REACH². Based on the physical-chemical properties of the polymer it is reasonable to identify the monomer as a worst case structural surrogate for the notified polymer. Thus, in the following sections the toxicological data for the monomer are presented and discussed.

2.1 Effects on Human Health

2.1.1 Toxicokinetics, Metabolism and Distribution

No data available.

2.1.2 Acute Toxicity

Studies in Animals

Inhalation

No data are available for the inhalation route for the the monomer
. Therefore, data are read-across from the related substance
. The monomer and
are close structural analogues and both hydrolyse (with predicted half-
lives at pH 7 of 43 hours and 5 hours, respectively) to give
The other hydrolysis products are ethanol and methanol, respectively. In a good quality study (Hoechst, 1986a) exposure of Wistar rats to an aerosol of
at a concentration of 11.2 mg/l air did not cause any
deaths. Therefore the LC50 was greater than 11.2 mg/l.
wowners there is to be a firm grower visual tria mag in
Dermal
An aguta darmal taxiaity study was conducted with the manamer
An acute dermal toxicity study was conducted with the monomer according to OECD 402 on rats. The LD50 (rat) was greater than 2000
mg/kg (BSL, 2001a). The study was carried out in compliance with GLP. There were no
clinical signs of toxicity and no abnormalities detected at scheduled necropsy.
Oral
An acute oral toxicity study was conducted with the monomer
according to OECD 423 on rats. The LD50 (rat) was greater than 2000
mg/kg (RCC Ltd., 1998). The study was carried out in compliance with GLP. There were no
clinical signs of toxicity and no abnormalities detected at scheduled necropsy
Deced on the excelleble courts and darmal and inhelation toxicity studies, the manamer
Based on the available acute oral, dermal and inhalation toxicity studies, the monomer

is not classified for acute toxicity according to GHS.

² https://echa.europa.eu/de/registration-dossier/-/registered-dossier/16000/1

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Vt1	1/1/10	111	Humans
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No data available.

2.1.3 Irritation

Skin Irritation

Studies in Animals

An acute dermal irritation study was conducted with the monomer according to OECD 404 on rabbits (BSL Bioservice, 2000a). The study was carried out in compliance with GLP. The findings of the study did not meet the GHS criteria for classification as irritating to skin.

Studies in Humans

No data available.

Eye Irritation

Studies in Animals

An acute eye irritation study was conducted with the monomer according to OECD 405 on rabbits (BSL Bioservice (2001b)). The study was carried out in compliance with GLP. The findings of the study did not meet the GHS criteria for classification as irritating to the eyes.

Studies in Humans

No data available.

Respiratory Tract Irritation

No data available.

2.1.4 Sensitisation

Studies in Animals

The monomer was not sensitising in a guinea pig maximisation test carried out in accordance with OECD 406 and in compliance with GLP (BSL Bioservice, 2001c). No positive skin reactions were observed.

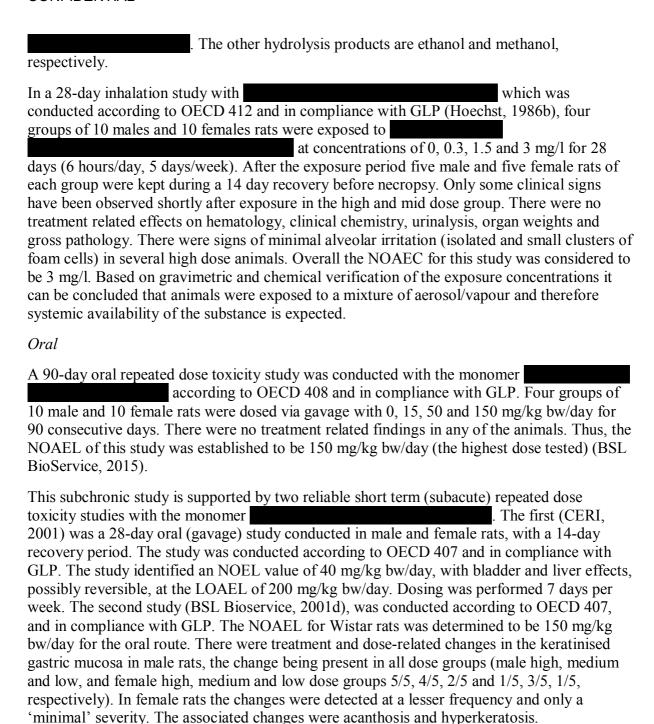
2.1.5 Repeated Dose Toxicity

Studies in Animals

Inhalation

No repeated dose inhalation toxicity data are available for the monomer

Thus, good quality data for the read-across substance
, have been used to assess the repeated dose inhalation toxicity of
are close structural analogues and both hydrolyse (with predicted half-lives at pH 7 of 43 h and 5 h, respectively) to give



In these two subacute (28-days repeated) oral toxicity studies different strains of rats and different vehicles for substance application were used. Based on these differences, the partially different behaviour of the substance in these two tests can be explained (strain specific effects, normal biological variation, different resorption due to different vehicles). Nevertheless, in general no severe systemic toxicological effects were observed in either test.

Changes in the liver (both tests) can be described as an adaptative response to the substance because of metabolism of the parent substance and/or the hydrolysis products, especially ethanol. Irritation effects of the substance were observed on the keratinized gastric mucosa (BSL Bioservice, 2001d) and on the transitional epithelium of the bladder (CERI, 2001). There is an indication of reversibility of the effects.

Different NOAELs were defined in these two tests, which can be explained by the different dose levels selected for each. Effects in the 200 mg/kg dose group (CERI, 2001) were without

morphological findings (relative liver weight) or were very slight to slight in few animals only (hyperplasia of transitional epithelium of the bladder).

Note: Based on the findings of the key study (subchronic 90-day oral repeated dose toxicity study), bladder epithelial hyperplasia findings in the supporting oral subacute toxicity studies of similar degree of severity and missing recovery data have been re-evaluated as being non-adverse.

Based on the available data, the monomer classified for specific target organ toxicity according to GHS.

Studies in Humans

No data available.

2.1.6 Mutagenicity

Information is available for the monomer from reliable studies for in vitro mutagenicity to bacteria and cytogenicity, and from an in vivo micronucleus assay. No information is available for the monomer for in vitro mutagenicity to mammalian cells; however, data are available for the structural analogue,

In vitro Studies

Studies in Bacteria

The monomer has been tested in a valid and reliable study conducted according to OECD TG 471 and in compliance with GLP (RCC Cytotest Cell Research, 1998). No mutagenic effect was observed for the test substance tested up to limit concentration in any of the Salmonella typhimurium strains TA 1535, TA 1537, TA 98, TA 100 and TA 102 in a plate incorporation experiment without and with metabolic activation. The result was confirmed in an independent pre-incubation assay. Appropriate positive, negative and solvent controls were included and gave expected results. It is concluded that the test substance is negative for mutagenicity to bacteria under the conditions of the test.

Studies in Mammalian Cells

The monomer has been tested in a valid and reliable test performed according to OECD TG 473 in compliance with GLP (BSL Bioservice, 2001e). The test substance did not induce structural chromosomal aberrations in the V79 Chinese hamster cell line with and without metabolic activation up to limit concentrations. Appropriate solvent and postive controls were included and gave expected results. It is concluded that the test substance is negative for the induction of chromosome aberrations under the conditions of the test.

An additional study on in vitro cytogenicity with the monomer is available (CERI Japan, 2001). This study reported a positive in Chinese hamster fibrobalsts (V79 cells) in the absence of metabolic activation. The study report available for this result is an extended summary, and does not include tables of results, so there is not enough information to for a full independent assessment, but it is noted by the reviewer that the test substance was much more cytotoxic in the absence of activation, and the increase in abnormalities recorded was most marked at cytotoxic concentrations. In view of

these factors, the availability of a reliable negative result in a similar study and the negative result in vivo, the study with the negative result was chosen as key.

Information on mutagenicity to mammalian cells is available for a structural analogue of the monomer, which has been tested in a reliable study conducted according to OECD TG 476 and in compliance with GLP (Bioservice, 2012). No biologically relevant increase in mutation rate was found in mouse lymphoma L5178Y cells with or without metabolic activation in either the initial or the repeat experiment, up to limit and cytotoxic concentrations. The global evaluation factor was not exceeded at any concentration. In addition, colony sizing showed no clastogenic effects in either experiment. Appropriate solvent and positive controls were included and gave expected results. It is concluded that the test substance is negative for mutagenicity to mammalian cells under the conditions of the test

In vivo Studies

The monomer has been tested in a reliable in vivo mouse micronucleus assay according to OECD 474 and under GLP (Bioservice (2001)). No statistically significant increase in the number of cells with micronuclei was observed after oral administration of the limit dose of 2000 mg/kg bw. Appropriate positive and vehicle controls were included and gave expected results. The PCE / NCE ratio was slightly affected in treated males, indicating that the test item was of low toxicity to the target tissue. It is concluded that the test substance is negative for the induction in micronuclei under the conditions of the test.

2.1.7 Carcinogenicity

No data available.

2.1.8 Toxicity for Reproduction

Effects on Fertility

No data are available for the monomer to assess effects on fertility. Thus, good quality data for a structural analogue of the monomer have been used to assess effects on fertility of

In a guideline, GLP, OECD 422 study (DCC, 2010) for the related substance productive effects (increased duration of gestation, dystocia, decreased pup viability on PND 0 and 4, mean litter weights, average pup body weights and body weight gain decreased on PND 4) were only observed at the highest dose of 1000 mg/kg bw/day and were considered to be secondary to the systemic toxicity in dams (including dragging of hindlimbs) by the study authors. There were no effects on fertility. The NOAEL is therefore ≥ 1000 mg/kg bw/day.

Developmental Toxicity

The monomer has been tested in a reliable developmental toxicity study conducted in accordance with OECD 414 and in compliance with GLP (Harlan (2009a)). Under the conditions described for this study, the NOEL for pregnant rats was considered to be ≥1000 mg/kg body weight/day. Based on the variations on

development of axial skeleton, the NOEL for embryo and fetal development was considered to be 100 mg/kg body weight/day whereas the NOAEL was considered to be ≥1000 mg/kg body weight/day.

This is supported by a reliable OECD 422 study with the related substance in which the NOAEL was ≥1000 mg/kg bw/day (Dow Corning Corporation, 2010).

Based on the available data, the monomer classified for reproductive or developmental toxicity according to GHS.

3 HAZARDS TO THE ENVIRONMENT

The new polymer has not been tested for acute or chronic aquatic toxicity. However, the monomer has been intensively evaluated in Europe within REACH. Based on the physical-chemical properties of the polymer it is reasonable to identify the monomer as a worst case structural surrogate for the notified polymer. Thus, in the following sections the ecotoxicological data for the monomer are presented and discussed.

As supporting information chronic ecotoxicity values have been calculated by ECOSAR(V 1.11) for the ecotoxicologically relevant hydrolysis products.

No effects on aquatic organisms are expected from the hydrolysis product ethanol.

3.1 Aquatic Effects

Acute Toxicity Test Results

For the monomer short-term toxicity tests results are available for freshwater fish (Oncorhynchus mykiss), invertebrates (Daphnia magna) and algae (Pseudokirchneriella subcapitata), (IBACON (2001a), IBACON (2001b), IBACON (2001c), IBACON (2001d), Harlan (2010b)). However, in all these studies the loading rates were well above the water solubility of the monomer (<0.1 mg/l). The observations during the tests with fish, Daphnia and algae indicated that the dosage of the test item and the filtration step are hypersensitive parameters for the occurrence of undissolved material. A cellulose-acetate filter, as was used in these studies, is not able to retain effectively undissolved monomers and oligomers. Therefore, reliable data of the structural analogue,

have been used to assess the acute aquatic toxicity of the monomer. For all 3 trophic levels the studies were performed up to the solubility limit of the structural analogue,

not achieving any toxicity (Springborn Smithers (2008a), Springborn Smithers (2008b), Springborn Smithers (2008c)).

The relevant short-term values are:

Fish (*Oncorhynchus mykiss*): LC50 (96-h): >0.055 mg/l; NOEC: ≥ 0.055 mg/l (based on nominal concentrations).

Daphnia (*Daphnia magna*): EC50 (48-h): >0.049 mg/l; NOEC: ≥0.049 mg/l (based on nominal concentrations).

Algae (*Pseudokirchneriella subcapitata*): ErC50 (72-h): >0.13 mg/l; NOEC: ≥0.13 mg/l (based on nominal concentrations).

Thus, the structural analogue, did not achieve any toxicity in all 3 trophic levels when tested at the solubility limit.

Chronic Toxicity Test Results

For the monomer a chronic aquatic toxicity test result is available for invertebrates (Daphnia magna), (Harlan (2010a)). However, like in the case of the short-term aquatic toxicity studies mentioned above the loading rate was well above the water solubility of the monomer (<0.1 mg/l). Therefore, it is not possible to separate physical from systemic effects at the effect concentrations and to quantify a reasonable no effect concentration (NOEC) for the monomer and its hydrolysis products from this study.

Therfore, as supporting information chronic ecotoxicity values have been calculated by ECOSAR (V 1.11) for the ecotoxicologically relevant hydrolysis products. The result is shown in table 2 exemplarily for the monomeric and the corresponding tetramer (Full report see Annex II).

Table 2: ECOSAR (V1.11) chronic results for the ecotoxicologically relevant hydrolysis products (HP), exemplarily calculated for and the corresponding siloxane tetramer (Molecular Weight approx. 715)

ECOSAR Endpoint	Result Monomer HP [mg/L]	Result Tetramer HP [mg/L]
Fish (ChV)	136	1.22e-005 *
Daphnid (ChV)	59	4.56e-005 *
Green Algae (ChV)	82	0.00122 *

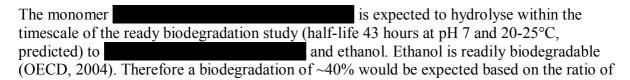
^{*} the asterisk designates that for all 3 trophic levels the predicted effect level exceeds the water solubility by >10x and the predicted log Kow for the tetramer is greater than the endpoint specific cut-off of 8.0 for ChV.

Based on the ECOSAR (V1.11) predictions no chronic effects on aquatic organisms are expected for the polymer, the residual monomer and its hydrolysis products.

3.2 Other Environmental Effects

Biodegradation in water

Two reliable guideline studies are available for the monomer (Clarke (2009), Hertl (2001)). The most recently-conducted study was chosen as the key study. 13% biodegradation in 28 days was determined in a reliable study conducted according to an appropriate test protocol (OECD 301), and in compliance with GLP. The supporting study indicated 0% to 2% biodegradation in 28 days (OECD 301 F). This study was also conducted in compliance with GLP.



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carbon associated with ethanol and those associated with the non-alkoxy carbons in the silanol sidechain.

However, has a very low solubility (water solubility <0.1 mg/l at 20°C) and may not have fully dissolved and thus not been available for biodegradation in the tests.

The biodegradation observed in the key study is attributable to the biodegradation of the ethanol hydrolysis product. No significant biodegradation is expected for the silanol hydrolysis product. However, as discussed for polydimethylsiloxanes in general, adsorption to sludge can be expected to a high degree.³

4 CONCLUSION

The new polymer is not classified as hazardous for human health.

Based on the intended use conditions there is no unforeseeable risk that is not covered by routine hygienic measures to control aerosol exposure.

During service life of cured mixtures no critical risk to human health can be identified.

Regarding the environment neither the hydrolysis product of the monomer nor a similar polymer indicated an acute or chronic environmental concern for the new polymer.

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³ http://www.ecetoc.org/wp-content/uploads/2014/08/JACC-055-Linear-Polydimethylsiloxanes-CAS-No.-63148-62-9-Second-Edition.pdf

5 REFERENCES

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6 ANNEX I – MPBPWIN V1.43 CALCULATIONS

Experimental Database Structure Match: no data

```
CHEM
         ----- SUMMARY MPBPWIN v1.43 -----
Boiling Point: 268.81 deg C (Adapted Stein and Brown Method)
Melting Point: 36.35 deg C (Adapted Joback Method)
Melting Point: 43.29 deg C (Gold and Ogle Method)
Mean Melt Pt: 39.82 deg C (Joback; Gold,Ogle Methods)
  Selected MP: 39.82 deg C (Mean Value)
Vapor Pressure Estimations (25 deg C):
  (Using BP: 268.81 deg C (estimated))
(Using MP: 39.82 deg C (estimated))
         0.00708 mm Hg (Antoine Method)
        0.944 Pa (Antoine Method)
    VP: 0.00739 mm Hg (Modified Grain Method)
        0.985 Pa (Modified Grain Method)
    VP: 0.013 mm Hg (Mackay Method)
      : 1.74 Pa (Mackay Method)
  Selected VP: 0.00739 mm Hg (Modified Grain Method)
: 0.985 Pa (Modified Grain Method)

Subcooled liquid VP: 0.0101 mm Hg (25 deg C, Mod-Grain method)
: 1.34 Pa (25 deg C, Mod-Grain method)
 TYPE | NUM | BOIL DESCRIPTION | COEFF | VALUE
 Group
               -CH3
                                       21.98
                                                153.86
                -CH2-
                                      24.22
                                                 121.10
 Group
          1
                                      11.86
                                                11.86
                >CH-
 Group
         1
               >C<
                                        4.50
                                                   4.50
 Group
                                     25.16
              -0- (nonring)
Si (non-ring)
                                                  75.48
         3
1
 Group
 Group
                                    regress
                                                    3.92
              Equation Constant
                                                198.18
RESULT-uncorr | BOILING POINT in deg Kelvin | 568.90
              BOILING POINT in deg Kelvin
BOILING POINT in deg C
RESULT- corr
                                                 541.97
                                                268.81
 TYPE | NUM | MELT DESCRIPTION | COEFF | VALUE
                -CH3
                                       -5.10
                                                 -35.70
 Group
          5
                -CH2-
                                                  56.35
 Group
                                       11.27
                                      12.64
                                                 12.64
                >CH-
 Group
          1
                                    46.43
 Group
         1
               >C<
                                                  46.43
                               22.23
regress
          3
                -O- (nonring)
 Group
                                                  66.69
                Si (non-ring)
                                                  40.60
 Group
               Equation Constant
                                                 122.50
______
  RESULT | MELTING POINT in deg Kelvin | 309.51
             MELTING POINT in deg C
```

Experimental Database Structure Match: no data

```
| Mean Melt Pt : 145.20 deg C (Joback; Gold,Ogle Methods)
| Selected MP: 136.65 deg C (Weighted Value)
| Vapor Pressure Estimations (25 deg C):
| (Using BP: 399.39 deg C (estimated))
| (Using MP: 136.65 deg C (estimated))
| VP: 1.39E-007 mm Hg (Antoine Method)
| v: 1.85E-005 Pa (Antoine Method)
| vP: 6.47E-007 mm Hg (Modified Grain Method)
| vP: 1.39E-006 mm Hg (Modified Grain Method)
| vP: 1.39E-006 mm Hg (Mackay Method)
| vP: 1.39E-006 mm Hg (Mackay Method)
| vP: 1.39E-006 mm Hg (Modified Grain Method)
| vP: 10.29E-006 mm Hg (Modified Grain Method)
| vP: 10.29E-006
```

ANNEX II – ECOSAR Calculations

ECOSAR Version 1.11 Results Page

CHEM CAS Num: ChemID1:

MOL WT : 192.33

(EPISuite Kowwin v1.68 Estimate) (User Entered) Log Kow: 0.890

Log Kow:

Log Kow: Melt Pt: (PhysProp DB exp value - for comparison only)
(User Entered for Wat Sol estimate)

(deg C, PhysProp DB exp value for Wat Sol estimate) Melt Pt: (mg/L, EPISuite WSKowwin v1.43 Estimate) (User Entered)

Wat Sol: 8314

Wat Sol: (User Entered)

(PhysProp DB exp value) Wat Sol:

Values used to Generate ECOSAR Profile

Log Kow: 0.890 (EPISuite Kowwin v1.68 Estimate)
Wat Sol: 8314 (mg/L, EPISuite WSKowwin v1.43 Estimate)

ECOSAR v1.11 Class-specific Estimations

Not Related to an Existing ECOSAR Class Definition

Estimates provided below use the Neutral Organics QSAR equations which represent baseline toxicity potential (minimum toxicity) assuming a simple non-polar narcosis model. Without empirical data on structurally similar chemicals, it is uncertain if this substance will present significantly higher toxicity above baseline estimates.

				Predicted
ECOSAR Class	Organism	Duration	End Pt	mg/L (ppm)
=======================================	= ===========	=======	=====	========
Neutral Organics	: Fish	96-hr	LC50	1568.714
Neutral Organics	: Daphnid	48-hr	LC50	803.957
Neutral Organics	: Green Algae	96-hr	EC50	392.008
Neutral Organics	: Fish		ChV	135.875
Neutral Organics	: Daphnid		ChV	58.946
Neutral Organics	: Green Algae		ChV	81.709
Neutral Organics	: Fish (SW)	96-hr	LC50	1961.814
Neutral Organics	: Mysid	96-hr	LC50	3092.571
Neutral Organics	: Fish (SW)		ChV	108.115
Neutral Organics	: Mysid (SW)		ChV	371.430
Neutral Organics	: Earthworm	14-day	LC50	435.873

Note: * = asterisk designates: Chemical may not be soluble enough to measure this predicted effect. If the effect level exceeds the water solubility by 10X, typically no effects at saturation (NES) are reported.

Class Specific LogKow Cut-Offs

If the log Kow of the chemical is greater than the endpoint specific cut-offs presented below, then no effects at saturation are expected for those endpoints.

Neutral Organics:

Maximum LogKow: 5.0 (Fish 96-hr LC50; Daphnid LC50, Mysid LC50)

Maximum LogKow: 6.0 (Earthworm LC50)

Maximum LogKow: 6.4 (Green Algae EC50)

Maximum LogKow: 8.0 (ChV)

ECOSAR Version 1.11 Results Page

```
CHEM
CAS Num:
ChemID1:
MOL WT : 715.28
Log Kow: 9.842
                   (EPISuite Kowwin v1.68 Estimate)
Log Kow:
                    (User Entered)
Log Kow:
                    (PhysProp DB exp value - for comparison only)
                    (User Entered for Wat Sol estimate)
Melt Pt:
Melt Pt:
                    (deg C, PhysProp DB exp value for Wat Sol estimate)
Wat Sol: 3.078E-007 (mg/L, EPISuite WSKowwin v1.43 Estimate)
          (User Entered)
(PhysProp DB exp value)
Wat Sol:
Wat Sol:
Values used to Generate ECOSAR Profile
_____
Log Kow: 9.842 (EPISuite Kowwin v1.68 Estimate)
Wat Sol: 3.078E-007 (mg/L, EPISuite WSKowwin v1.43 Estimate)
ECOSAR v1.11 Class-specific Estimations
  Not Related to an Existing ECOSAR Class Definition
  Estimates provided below use the Neutral Organics QSAR equations which
  represent baseline toxicity potential (minimum toxicity) assuming a simple non-polar narcosis model. Without empirical data on structurally similar
  chemicals, it is uncertain if this substance will present significantly
 higher toxicity above baseline estimates.
                                                                  Predicted
ECOSAR Class
                           Organism
                                              Duration End Pt mg/L (ppm)
2.18e-009
Neutral Organics
Neutral Organics
                         : Earthworm
                                              14-day LC50
                                                                   191.198 *
 Note: * = asterisk designates: Chemical may not be soluble enough to
       measure this predicted effect. If the effect level exceeds the
   water solubility by 10X, typically no effects at saturation (NES)
       are reported.
Class Specific LogKow Cut-Offs
 -----
If the log Kow of the chemical is greater than the endpoint specific cut-offs
presented below, then no effects at saturation are expected for those endpoints.
Neutral Organics:
Maximum LogKow: 5.0 (Fish 96-hr LC50; Daphnid LC50, Mysid LC50)
Maximum LogKow: 6.0 (Earthworm LC50)
Maximum LogKow: 6.4 (Green Algae EC50)
```

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Maximum LogKow: 8.0 (ChV)